



DOCKET: CU-3271

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Byung Kwon AHN et al.

Serial No.: 10/603,306

Group Art Unit:

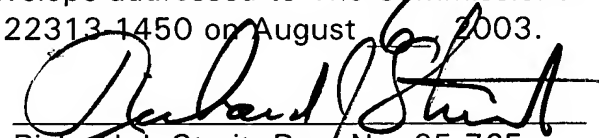
Filed: June 25, 2003

Examiner:

For: METHOD FOR FORMING CAPACITOR OF SEMICONDUCTOR DEVICE

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on August 6, 2003.


Richard J. Streit, Reg. No. 25,765

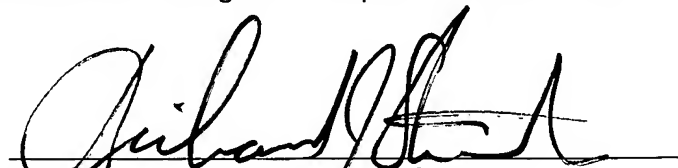
The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (IDS) WITHIN
THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

No fee is believed to be required in view of the above. However, if this is not the case, the Commissioner is authorized to charge our Deposit Account No. 12-0400 for any required fees.

Date: 8/6/03


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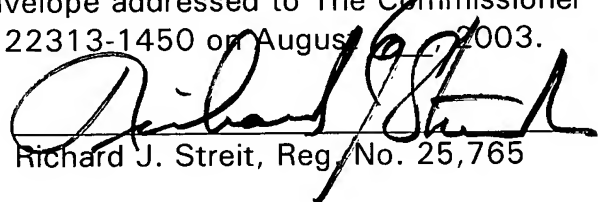
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Richard J. Streit, Reg. No. 25,765

The Commissioner for Patents
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS)

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

The Statement is made on the basis of the information:

- ☐ supplied by the inventor(s);
- ☒ supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c));
- ☐ in the attorney's file; or
- ☐ cited in a corresponding PCT, EPO, and/or foreign application.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

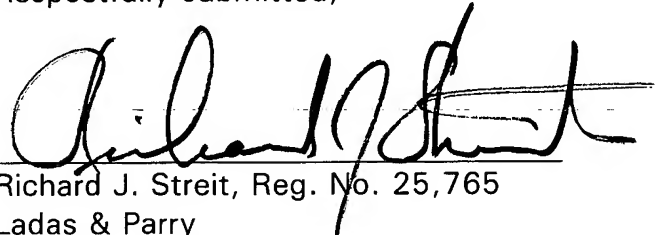
The Statement is made on the basis that the present submission of the IDS is before the mailing date of the first Office Action.

Full translation of the Japanese references submitted herewith are not available, but English language Abstracts are attached thereto.

Date: _____

8/6/03

Respectfully submitted,



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Form PTO-1449 (Modified)

FORM PTO-1449	ATTY. DOCKET NO. CU-3271	SERIAL NO. 10/603,306
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Byung Kwon AHN et al.	
(37 CFR 1.98(b))	FILING DATE June 25, 2003	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	US	6	1	9	8	1	2	4	03/06/02	Sandu et al.			
	US	6	2	0	7	5	6	1	03/27/01	Hwang et al.			
	US	6	1	0	4	3	1	0	01/11/00	Bronner et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES NO	
	2000			6	8	1	6	0	03/03/00	JAPAN				X
	2000		3	5	3	7	8	7	11/22/00	JAPAN				X

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

EXAMINER	DATE CONSIDERED		

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.